

03/29/99

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0032
 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 902-578-2
 First Inventor or Application Identifier F. Van Der Putten
 Title Method to Synchronize Data and a Transmitter and a Receiver
 Express Mail Label No. EL 092377405 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☐ * Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for free processing)
2. ☒ Specification [Total Pages 9]
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
 (for construction/divisional with Box 16 completed)
4. Oath or Declaration [Total Pages 3]
 a. ☐ Newly executed (original or copy)
 b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))
 (for construction/divisional with Box 16 completed)
 I. ☐ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 15: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 a. ☐ Computer Readable Copy
 b. ☐ Paper Copy (identical to computer copy)
 c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
 8. ☐ 37 C.F.R. § 3.73(b) Statement of Attorney (when there is an assignee) ☐ Power of Attorney
 9. ☐ English Translation Document (if applicable)
 10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
 11. ☐ Preliminary Amendment to follow shortly
 12. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized) *after receipt of SN*
 13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, (PTO/SB-09-12) Status still proper and desired
 14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
 15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 08 1 965, 136
 Prior application information: Examiner C. Tran Group / Art Unit: 2746

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

004955

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name Francis J. Maguire
 Address Ware, Fressola, Van Der Sluys & Adolphson
 755 Main Street, PO Box 224,
 City Monroe State CT Zip Code 06468
 Country USA Telephone (203) 261-1234 Fax (203) 261-5676

Name (Print/Type) Francis J. Maguire

Registration No. (Attorney/Agent) 31,391

Signature

Francis J. Maguire

Date

March 29, 1999

Burden Hour Statement: This form is estimated to take one hour to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: F. Van Der Putten et al

Serial No.: 0 /

Group No.:

Filed: Herewith

Examiner:

For: A Method to Synchronize Data and a Transistor and a
Receiver Realizing Said Method

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Utility Patent Application Transmittal (Cont.)

Copy Specification w 6 pg spec, 2 pg cl, 1 pg abstr, 1 drw

Copy Declaration

Copy Assignment Recordal and Assignment

IDS w PTO 1449 and 6 refs

Check 10880 \$760 filing fee

CERTIFICATE OF MAILING (37 CFR 1006) 1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231. Exp. Mail No. EL 092377405 US

Judith Schick

(Type or print name of person mailing paper)

Date: March 29, 1999


(Signature of person mailing paper)

RECEIVER REALISING SAID METHOD

5

10

20

25

30

the required level of error performance and without making the receiver too complex.

Another characteristic feature of the present invention is that the data, sent from the transmitter to the receiver, is asynchronous data. Indeed, upon receipt of the trigger signals, the transmitter must be able to send data even if the trigger signals are sent in an asynchronous way. This is for instance the case when the receiver has to receive the data at a time moment at which the data has just to fit at a predefined place in a frame. In this way frame synchronisation is achieved.

Yet another characteristic feature of the present invention is that in the event that no data is available in the transmitter to be sent upon receipt of the trigger signals, the transmitter is able to generate idle data and to send this idle data to the receiver. In this way, e.g. the frame synchronisation proces is not disturbed. This is described in the method of claim 3 and the transmitter of claim 8.

An important application of the present invention is that the receiver is included in an asymmetric digital subscriber line (ADSL) modem. This is described in claim 5. In such receiver, the received data is framed into an asymmetric digital subscriber line frame and sent over twisted pair. However in known ADSL modems using the known synchronisation methods, when the modem receives data at a higher frequency than the frequency at which the data is sent, the data has to be buffered before being framed. As already mentioned above, it is important to keep the complexity of a receiver in such a modem low. By using the method of the invention, the asymetric digital subscriber line modem gets rid of the buffering aspect. In fact the buffering is again moved from the receiver to the transmitter which now must be able to buffer the data until he receives a trigger signal of the receiver to have the permission to sent the data to the receiver. Therefore, this way of synchronising is expecially suited for systems wherein there is anyway buffering foreseen at the transmitting side, e.g. for Asynchronous Transmission Mode (ATM) systems.

The above mentioned and other objects and features of the invention will become more apparent and the invention itself will be best understood by referring to the following description of an embodiment taken in conjunction with the accompanying figure which is a block scheme of a synchronisation system including a transmitter and a receiver realising the method of the invention.

Referring to the figure, the working of the synchronisation system will be described. First, the working of the synchronisation system will be explained by means of a functional description of the blocks shown in the Figure. Based on this description implementation of the functional blocks will be obvious to a person skilled in the art and will therefor not be described in detail. In addition, the principle working of the synchronisation system will be described in further detail.

The synchronisation system includes a transmitter TX and an asymmetric digital subscriber line modem (ADSL modem) ADSL.

The transmitter TX includes four functional blocks :

- a buffer BUF;
- an idle data generating means ID-GEN ;
- a data sending means DAT-SEND; and
- a trigger receiving means T-RX.

The buffer BUF is included to buffer the data DAT presented to the transmitter TX. This data DAT can be digital data of any kind, however, for this embodiment the data DAT is asynchronous data i.e. data organised following the asynchronous transfer mode (ATM) technique. As mentioned, the buffer BUF buffers the data DAT presented to the transmitter TX. However, it has to be understood that the buffer BUF will only do this when it is necessary i.e. when the transmitter receives more data DATA then he is allowed to send.

The idle data generating means ID-GEN is included to generate idle data. It has to be remarked that this one of the typical ATM functionalities. Idle data is sent whenever there is no information available at the side of the sender at the moment of transmission. They allow a full asynchronous operation of both sender and receiver.

The data sending means DAT-SEND is included to send data from the transmitter TX to the ADSL modem. This data can be useful user information i.e. the data DAT coming from the buffer BUF or idle data, coming from the idle data generating means ID-GEN.

- 5 The trigger receiving means T-RX is included to receive trigger signals T coming from the ADSL modem. Upon receipt of such a trigger signal, the data sending means DAT-SEND is on his turn triggered by the trigger receiving means T-RX and is allowed to send data.

- 10 The ADSL modem includes besides a receiver RX also the characteristic functional blocks of an ADSL modem. Since the description of the ADSL technology goes beyond the scope of this invention, these functional blocks are not shown in the figure. However, it is worth to mention here that one of the functional blocks of such an ADSL modem is a framer which organizes overhead information and user information i.e. the incoming data DAT into ADSL frames,
- 15 i.e. uniformly sized groups of bits used to organize the ADSL data stream.

The receiver RX includes three functional blocks :

- trigger generating means T-GEN;
- trigger sending means T-SEND; and
- data receiving means DAT-RX.

- 20 The trigger generating means T-GEN is included to generate trigger signals T from an available signal SIG in the receiver RX. This available signal SIG is generated in accordance with the time moments whenever data DAT is needed to fit into an available ADSL frame on a predetermined place. This signal S is not necessary a clock signal. Indeed, looking to the form of an ADSL
- 25 frame, not the whole frame must be filled with data DAT, so by consequence, the signal S is not a signal with a constant frequency.

- It has to be remarked here that the trigger signals T are allowed to be of any kind e.g. one single bit pulse or a predefined codeword as long as the trigger generating means T-GEN of the receiver RX and the trigger receiving
- 30 means T-RX of the transmitter TX are lined up with each other.

waiting period somewhere in the loop in order to be able to realise the synchronisation. Since the complexity is moved from the receiver RX to the transmitter TX, this waiting period will also be realised by the transmitter TX.

- 5 It has to be remarked that due to the cell structure of the ATM data stream whenever idle data, not corresponding to a complete idle cell has been sent, that upon receipt of subsequent trigger signals T idle data has to be sent until the complete idle cell is transmitted, even if in the mean time some data DAT becomes available in the buffer BUF.

- 10 While the principles of the invention have been described above in connection with specific apparatus, it is to be clearly understood that this description is made only by way of example and not as a limitation on the scope of the invention.

CLAIMS

1. A method to realise synchronisation in a receiver (RX), of data (DAT) sent from a transmitter (TX) to said receiver (RX), with a signal (SIG) available in
5 said receiver (RX), characterised in that said method includes the steps of :

- in said receiver (RX) generating trigger signals (T) from said signal (SIG);

- sending said trigger signals (T) from said receiver (RX) to said transmitter (TX);

10 - upon receipt of said trigger signals (T) by said transmitter (TX) sending said data (DAT) from said transmitter (TX) to said receiver (RX).

2. The method according to claim 1, characterised in that said data (DT) is asynchronous data.

3. The method according to claim 1, characterised in that said
15 method further includes in the event that no data is available in said transmitter (TX) to be sent upon receipt of said trigger signals, sending idle data from said transmitter (TX) to said receiver (RX).

4. A receiver (RX) for receiving from a transmitter (TX) data (DAT), said data (DAT) having to be synchronous with a signal (SIG) available in said receiver
20 (RX), characterised in that said receiver (RX) includes:

- trigger generating means (T-GEN) to generate trigger signals (T) from said signal (SIG);

- trigger sending means (T-SEND) to send said trigger signals (T) from said receiver (RX) to said transmitter (TX);

25 - data receiving means (DAT-RX) to receive said data (DAT) sent by said transmitter (TX) upon receipt of said trigger signals (T) to said receiver (RX).

5. The receiver (RX) according to claim 4, characterised in that said receiver (RX) is included in an asymmetric digital subscriber line modem.

6. A transmitter (TX) for transmitting data (DAT) to a receiver (RX), said
30 data (DAT) having to be synchronous with a signal (SIG) available in said receiver (RX), characterised in that said transmitter (TX) includes :

- trigger receiving means (T-RX) to receive trigger signals T), generated by said receiver (RX) from said signal (SIG) and sent from said receiver (RX) to said transmitter (TX);

5 - data sending means (DAT-SEND) to send data (DAT) from said transmitter (TX) to said receiver (RX) upon receipt of said trigger signals (T).

7. The transmitter (TX) according to claim 6, characterised in that said transmitter (TX) includes means to send said data (DAT) in an asynchronous way.

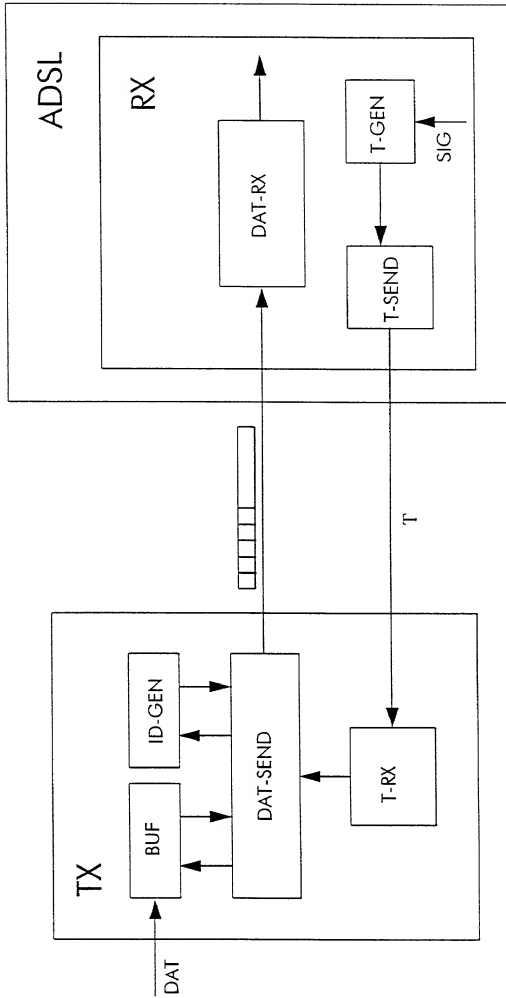
8. The transmitter (TX) according to claim 6, characterised in that said transmitter (TX) includes idle data generating means (ID-GEN) to generate idle
10 data and to send said idle data from said transmitter (TX) to said receiver (RX) in the event that no data (DAT) is available in said transmitter (TX) upon receipt of said trigger signals (T).

ABSTRACT

**A METHOD TO SYNCHRONISE DATA AND A TRANSMITTER AND A
RECEIVER REALISING SAID METHOD**

- 5 A method to realise synchronisation in a receiver (RX), of data (DAT) sent from a transmitter (TX) to the receiver (RX), with a signal (SIG) available in the receiver (RX). The method includes the following steps :
- in the receiver (RX) generating trigger signals (T) from the signal (S);
 - sending the trigger signals (T) from the receiver (RX) to the
- 10 transmitter (TX);
- upon receipt of the trigger signals (T) by the transmitter (TX) sending the data (DAT) from the transmitter (TX) to the receiver (RX).
- (figure)

09280435-022999



Declaration and Power of Attorney For Patent Application

Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen.

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Mitfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigefügt ist.

☐ am _____ unter der

Anmeldungsnummer 0/

eingereicht wurde und am _____
abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung ersichtlich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend kennzeichnen, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

A METHOD TO SYNCHRONISE DATA
AND A TRANSMITTER AND A RECEIVER
REALISING SAID METHOD.

the specification of which

(check one)

☒ is attached hereto

☐ was filed on _____ as

Application Serial No. 0/

and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

00280435-032099

German Language Declaration

Prior foreign applications
Priorität beansprucht

Priority Claimed

06402393.1 Europe
(Number) (Country)
(Nummer) (Land)

8-11-1996
(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

☒ Yes
Ja ☐ No
Nein

(Number) (Country)
(Nummer) (Land)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

☐ Yes
Ja ☐ No
Nein

(Number) (Country)
(Nummer) (Land)

(Day/Month/Year Filed)
(Tag/Monat/Jahr eingereicht)

☐ Yes
Ja ☐ No
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 112 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

0/
(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date)
(Anmeldedatum)

(Status)
(patentiert, anhängig,
aufgegeben)

(Status)
(patented, pending,
abandoned)

0/
(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date)
(Anmeldedatum)

(Status)
(patentiert, anhängig,
aufgegeben)

(Status)
(patented, pending,
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden können, und dass derartige wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

German Language Declaration

VERTRETUNGSVOLLMACHT: Als benannter Erfinder beauftrage ich hiermit den nachstehend benannten Patentanwalt (oder die nachstehend benannten Patentanwälte) und/oder Patent-Agenten mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Geschäfte vor dem Patent- und Warenzeichenamt: (Name und Registrierungsnummer angeben)

Garold E. Bramblett, Reg.No. 19,119
James R. Frederick, Reg. No. 25,865

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

Robert H. Ware, Reg. No. 18,179
Alfred A. Fressola, Reg. No. 27,550
K. Bradford Adolphson, Reg. No. 30,927
Francis J. Maguire, Reg. No. 31,391
William J. Barber, Reg. No. 32,720
Peter H. Van Winkle, Reg. No. 36,039
Michael Grillo, Reg. No. 34,612

Telefongespräche bitte richten an:
(Name und Telefonnummer)

Direct Telephone Calls to: (name and telephone number)
(203) 261-1234

Postanschrift:

Send Correspondence to:
WARE, FRESSOLA, VAN DER SLUYS
& ADOLPHSON
755 Main Street, PO Box 224
Monroe CT 06468

Voller Name des einzigen oder ursprünglichen Erfinders:		Full name of sole or first inventor	
		Frank Octaaf VAN DER PUTTEN	
Unterschrift des Erfinders	Datum	Inventor's signature	Date
		Frank Octaaf Van der Putten	20/10/1997
Wohnsitz		Residence	
		HOMBEK, Belgium	
Staatsangehörigkeit		Citizenship	
		Belgian	
Postanschrift		Post Office Address	
		Vinkstraat 2, B-2811 HOMBEK	
		BELGIUM	
Voller Name des zweiten Mit-Erfinders (falls zutreffend)		Full name of second joint inventor, if any	
		Paul Marie Pierre SPRUYT	
Unterschrift des Erfinders	Datum	Second inventor's signature	Date
		Paul Marie Pierre Spruyt	20/10/1997
Wohnsitz		Residence	
		HEVERLEE, Belgium	
Staatsangehörigkeit		Citizenship	
		Belgian	
Postanschrift		Post Office Address	
		Prinses Lydialaan 54, B-3001 HEVERLEE	
		BELGIUM	

(Bitte entsprechende Informationen und Unterschriften im Falle von dritten und weiteren Mit-Erfindern angeben).

(Supply similar information and signature for third and subsequent joint inventors.)